

12/05/01

Jc698 U.S. PTO

72-07-01

11046 U.S. PTO

10/005641



12/05/01

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Title of Invention

Foreign Network Spam Blocker

Named Inventor(s)

Dale Malik, Theodore Verren and William Conner

Attorney Docket

36968/259823

Express Mail Label No.

EL670012157US

APPLICATION ELEMENTSADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims Small Entity status.
3. ☒ Specification, Claims,
and Abstract Total Pages 27
4. ☒ Drawings Total Sheets 7
5. Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16
completed)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
5b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated
by reference therein.
- (i) ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).
6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of
above copies
8. ☐ Assignment:
- a. ☐ Assignment Papers (cover sheet &
document(s))
- b. ☐ Assignment is of record in parent
application No. _____
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-
1449
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
15. ☒ Other: Request and Certification for
Nonpublication Under 35 U.S.C. 122(b)(2)(B)(i)

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Recite complete dependency back to first parent application: _____

17. CORRESPONDENCE ADDRESS:

☒ Customer Number or Bar Code Label:

23370

PATENT TRADEMARK OFFICE

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By:

John M. Briski - Reg. No. 44,562
Date: December 5, 2001
Telephone: 404-815-6500
Facsimile: 404-815-6555

FEE TRANSMITTALAttorney Docket No. **36968/259823**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Dale Malik, Theodore Verren and William Conner**
Filing Date: **December 5, 2001**
Title: **Foreign Network Spam Blocker**

The filing fee is calculated as shown below:

1. FILING FEE:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370		\$740	\$740
<input type="checkbox"/> DESIGN FILING FEE	\$230		\$460	
<input type="checkbox"/> PLANT FILING FEE	\$310		\$620	
<input type="checkbox"/> REISSUE FILING FEE	\$370		\$740	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)				\$740

2. CLAIMS:

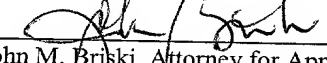
SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	18 - 20 =	0	x 9 =		x 18 =	
INDEP. CLAIMS	3 - 3 =	0	x 42 =		x 84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	
SUBTOTAL (2)						0

3. ADDITIONAL FEES:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

TOTAL FILING FEES: \$740.00A check is enclosed for the total amount: **\$740.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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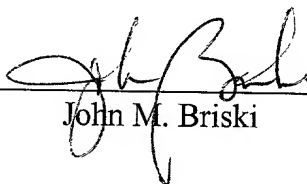
By: 
John M. Briski, Attorney for Applicant
Reg. No. 44,562

Date: December 5, 2001

REQUEST AND CERTIFICATION FOR NONPUBLICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Dale Malik et al.
	Title	Foreign Network Spam Blocker
	Attorney Docket Number	36968/259823

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 5, 2001
Date


John M. Briski

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**